



**UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration**

**NATIONAL MARINE FISHERIES SERVICE**

West Coast Region

777 Sonoma Avenue, Room 325  
Santa Rosa, California 95404-4731

July 16, 2020

Refer to NMFS No: WCRO-2019-04001

James Mazza  
Regulatory Division Chief  
San Francisco District, U.S. Army Corps of Engineers  
450 Golden Gate Avenue, 4th Floor, Suite 0134  
San Francisco, California 94102-3406

Re: Endangered Species Act Section 7(a)(2) Biological Opinion for the Arastradero Road Repair Project in the Town of Portola Valley, Santa Clara County, California (Corps File No. 2017-00417S)

Dear Mr. Mazza:

Thank you for your letter of June 6, 2019, requesting initiation of consultation with NOAA's National Marine Fisheries Service (NMFS) pursuant to section 7 of the Endangered Species Act of 1973 (ESA) (16 U.S.C. 1531 *et seq.*) for the proposed Arastradero Road Repair Project, adjacent to Los Trancos Creek, in the Town of Portola Valley, County of Santa Clara, California (Project). The Corps of Engineers (Corps) proposes to provide authorization pursuant to Section 404 of the Clean Water Act of 1972, as amended (33 U.S.C. § 1344 *et seq.*), to County of Santa Clara Roads and Airports Department to construct this project. This consultation was conducted in accordance with the 2019 revised regulations that implement section 7 of the ESA (50 CFR 402, 84 FR 45016).

The enclosed biological opinion is based on our review of the proposed Project and describes NMFS' analysis of the effects on threatened Central California Coast (CCC) steelhead (*Oncorhynchus mykiss*) and its designated critical habitat in accordance with section 7 of the ESA.

In the enclosed biological opinion, NMFS concludes the Project is not likely to jeopardize the continued existence of threatened CCC steelhead, nor is it likely to adversely modify its critical habitat. However, NMFS anticipates take of CCC steelhead will occur as a result of project construction. An incidental take statement with non-discretionary terms and conditions is included with the enclosed biological opinion.



Please contact Daniel Logan, North-Central Coast Office, San Francisco Bay Branch, at (707) 575-6053 or dan.logan@noaa.gov, if you have any questions concerning this consultation, or if you require additional information.

Sincerely,

A handwritten signature in blue ink, appearing to read "Alecia Van Atta".

Alecia Van Atta  
Assistant Regional Administrator  
California Coastal Office

Enclosure

cc: Frances Malamud-Roam, Corps of Engineers, San Francisco, CA  
Brian Wines, San Francisco Regional Water Quality Control Board, Oakland, CA  
Ryan Olah, U.S. Fish and Wildlife Service, Sacramento, CA  
Melissa Farinha, California Department of Fish and Wildlife, Fairfield, CA  
Peter Boucher, Michael Baker International, Rancho Cordova, CA  
Copy to ARN File #151422WCR2019SR00120

**Endangered Species Act (ESA) Section 7(a)(2) Biological Opinion  
Arastradero Road Repair Project Adjacent to Los Trancos Creek  
Town of Portola Valley, County of Santa Clara, California**

NMFS Consultation Number: WCRO-2019-04001

Action Agency: U.S. Department of the Army, Corps of Engineers, San Francisco District

**Table 1.** Affected Species and NMFS' Determinations:

ESA-Listed Species	Status	Is Action Likely to Adversely Affect Species?	Is Action Likely To Jeopardize the Species?	Is Action Likely to Adversely Affect Critical Habitat?	Is Action Likely To Destroy or Adversely Modify Critical Habitat?
Central California Coast steelhead ( <i>Oncorhynchus mykiss</i> )	Threatened	Yes	No	Yes	No

**Consultation Conducted By:** National Marine Fisheries Service, West Coast Region

**Issued By:**   
Alecia Van Atta  
Assistant Regional Administrator  
California Coastal Office

**Date:** July 16, 2020

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## 1. INTRODUCTION

This Introduction section provides information relevant to the other sections of this document and is incorporated by reference into Sections 2 and 3 below.

### 1.1 Background

NOAA's National Marine Fisheries Service (NMFS) prepared the biological opinion (opinion) and incidental take statement (ITS) portions of this document in accordance with section 7(b) of the Endangered Species Act (ESA) of 1973 (16 USC 1531 *et seq.*), and implementing regulations at 50 CFR 402, as amended.

We completed pre-dissemination review of this document using standards for utility, integrity, and objectivity in compliance with applicable guidelines issued under the Data Quality Act (DQA) (section 515 of the Treasury and General Government Appropriations Act for Fiscal Year 2001, Public Law 106-554). The biological opinion will be available within two weeks at the NOAA Library Institutional Repository (<https://repository.library.noaa.gov/welcome>). A complete record of this consultation is on file at the NMFS North-Central Coast Office in Santa Rosa, California (ARN #151422WCR2019SR00120).

### 1.2 Consultation History

By letter dated June 6, 2019, the U.S. Army Corps of Engineers (Corps) requested initiation of formal consultation with NMFS, North-Central Coast Office for the proposed Arastradero Road Repair Project (Project) in the Town of Portola Valley, County of Santa Clara, California. Arastradero Road at the Project site is adjacent to Los Trancos Creek and project construction will entail some fill to the stream. Additional information was provided to NMFS, via email, on August 14, 2019, by Michael Baker International (MBI), a consulting engineering firm hired by the County of Santa Clara Roads and Airports Department (Applicant). This additional information described a modification to the design of the Project's retaining wall and more fully described the proposed habitat restoration features of the Project.

On November 26, 2019, the Corps provided to NMFS, via email, the Project's proposed mitigation and monitoring plan for Los Trancos Creek. Between December 3, 2019, and April 14, 2020, via telephone and email, discussions among the Corps, MBI, and NMFS continued regarding several aspects of the project description and construction methods. On April 22, 2020, NMFS sent a letter to the Corps and MBI stating that there was insufficient information to proceed with the consultation. The NMFS letter identified specific information that was required for assessment of the Project's potential impacts on threatened steelhead and their habitat in Los Trancos Creek. On May 4, 2020, MBI provided a letter to the Corps and NMFS containing much of the needed information. On May 6, 2020, MBI provided a letter to the Corps and NMFS updating the description of the dewatering plan for the Project. On May 7, 2020, NMFS provided guidance on bypass screen criteria via email. NMFS determined it had sufficient information to initiate consultation with the Corps for the Project on May 7, 2020.

### 1.3 Proposed Federal Action

“Action” means all activities or programs of any kind authorized, funded, or carried out, in whole or in part, by Federal agencies (50 CFR 402.02). The Corps proposes to provide authorization pursuant to Section 404 of the Clean Water Act of 1972, as amended (33 U.S.C. § 1344 *et seq.*), to the Applicant to repair damage to Arastradero Road and associated utilities damaged during winter storms (Corps File No. 2018-00086S).

The purpose of this Project is to permanently stabilize the streambank of Los Trancos Creek adjacent to Arastradero Road, near the intersections with John Marthens Lane and Alpine Road in the Town of Portola Valley, Santa Clara County, California. The Applicant undertook a temporary streambank and road repair at this site in 2017. The Corps permitted the temporary repairs through Regional General Permit #5 and conducted an emergency consultation with NMFS.

High streamflows in Los Trancos Creek following several storm events during the 2016-2017 rainy season damaged the westbound lane and shoulder along Arastradero Road. The creek had eroded the stream and road embankment, undercut Arastradero Road’s westbound lane, eroded the subgrade, and exposed a gas line and a water line. The Applicant proposes to stabilize the bank of Los Trancos Creek in a manner that better protects Arastradero Road and utility pipelines, removes most of the temporary riprap, and restores riparian vegetation and aquatic habitat.

The Project will remove most of the temporary riprap placed in 2017 and construct a soldier pile retaining wall adjacent to Arastradero Road that will be about 100 feet long and 10 feet high. Also, the Applicant will install habitat enhancement features in the streambed and adjacent banks, including placing gravel, boulders, logs, and rootwads in the channel and planting disturbed areas with willows to restore the riparian habitat. Work will occur from July 15 to October 31 and will take about three months to complete.

The proposed soldier pile retaining wall will include concrete facing and a metal beam guardrail to restore the roadway and affected utilities to a safe condition while minimizing impacts on Los Trancos Creek. To facilitate construction, the Applicant will place temporary cofferdams in Los Trancos Creek. The cofferdams will span the width of Los Trancos Creek and a water diversion piping system will be installed to divert streamflows around the work area during construction. The cofferdams will be positioned to dewater approximately 120 linear feet of Los Trancos Creek. Upon completion of construction activities, the Applicant will remove any project-related barriers to streamflow. The construction equipment required for the project will include a crane, a drill rig, an excavator, a backhoe, generator, concrete pump or chute, and a sheepsfoot rolling compactor. The contractor will stage all equipment and construction supplies at an existing clearing just east of the construction site and adjacent to Arastradero Road. No heavy equipment will enter wetted portions of Los Trancos Creek.

After the temporary riprap is removed, the Applicant will construct the soldier pilings using equipment working from the edge of Arastradero Road. The Applicant will drill into place the soldier piles. An impact hammer pile driver will not be used, thus reducing ground vibration impacts and noise levels. The wood lagging for the soldier piles will be installed by hand-held equipment. The wall will be keyed into the roadway embankment both upstream and

downstream of the storm-damaged area. Minimal excavation behind and near the base of the wall may be required prior to backfilling.

When retaining wall construction is complete, the Applicant will install habitat enhancement features in the streambed and adjacent banks. The streambed between the riprap and stream channel will be restored with gravel, boulders, logs, root wads, and other plantings. Disturbed bank areas upstream and downstream of the retaining wall will be planted with willows to restore riparian habitat. Before demobilizing, the construction contractor will remove all construction materials and equipment, including all temporary work surfaces and structures, and will restore the area to preconstruction conditions. The Applicant will complete post-construction monitoring of the constructed features, and riparian and aquatic habitat improvements.

Avoidance and minimization measures include: (1) divert any streamflow around the work site, (2) use an approved biologist to capture any fish present in the construction area and relocate them to a suitable preselected site in Los Trancos Creek, (3) work during the low-water season to avoid spawning and migrating steelhead, and (4) utilize effective pollution and erosion control measures, such as temporary silt fencing and straw wattles between the work area and Los Trancos Creek.

We considered whether or not the proposed action would cause any other activities and determined that it would not.

## **2. ENDANGERED SPECIES ACT: BIOLOGICAL OPINION AND INCIDENTAL TAKE STATEMENT**

The ESA establishes a national program for conserving threatened and endangered species of fish, wildlife, plants, and the habitat upon which they depend. As required by section 7(a)(2) of the ESA, each Federal agency must ensure that its actions are not likely to jeopardize the continued existence of endangered or threatened species, or adversely modify or destroy their designated critical habitat. Per the requirements of the ESA, Federal action agencies consult with NMFS and section 7(b)(3) requires that, at the conclusion of consultation, NMFS provides an opinion stating how the agency's actions would affect listed species and their critical habitats. If incidental take is reasonably certain to occur, section 7(b)(4) requires NMFS to provide an ITS that specifies the impact of any incidental taking and includes non-discretionary reasonable and prudent measures (RPMs) and terms and conditions to minimize such impacts.

### **2.1 Analytical Approach**

This biological opinion includes both a jeopardy analysis and/or an adverse modification analysis. The jeopardy analysis relies upon the regulatory definition of "to jeopardize the continued existence of" a listed species, which is "to engage in an action that would be expected, directly or indirectly, to reduce appreciably the likelihood of both the survival and recovery of a listed species in the wild by reducing the reproduction, numbers, or distribution of that species" (50 CFR 402.02). Therefore, the jeopardy analysis considers both survival and recovery of the species.

This biological opinion relies on the definition of "destruction or adverse modification," which "means a direct or indirect alteration that appreciably diminishes the value of critical habitat as a whole for the conservation of a listed species" (50 CFR 402.02).

The designation of critical habitat for CCC steelhead uses the term primary constituent element (PCE) or essential features. The 2016 critical habitat regulations (50 CFR 424.12) replaced this term with physical or biological features (PBFs). The shift in terminology does not change the approach used in conducting a "destruction or adverse modification" analysis, which is the same regardless of whether the original designation identified PCEs, PBFs, or essential features. In this biological opinion, we use the term PBF to mean PCE or essential feature, as appropriate for the specific critical habitat.

The 2019 regulations define effects of the action using the term "consequences" (50 CFR 402.02). As explained in the preamble to the regulations (84 FR 44977), that definition does not change the scope of our analysis and in this opinion we use the terms "effects" and "consequences" interchangeably.

We use the following approach to determine whether a proposed action is likely to jeopardize listed species or destroy or adversely modify critical habitat:

- Evaluate the rangewide status of the species and critical habitat expected to be adversely affected by the proposed action.
- Evaluate the environmental baseline of the species and critical habitat.
- Evaluate the effects of the proposed action on species and their habitat using an exposure-response approach.
- Evaluate cumulative effects.
- In the integration and synthesis, add the effects of the action and cumulative effects to the environmental baseline, and, in light of the status of the species and critical habitat, analyze whether the proposed action is likely to: (1) directly or indirectly reduce appreciably the likelihood of both the survival and recovery of a listed species in the wild by reducing the reproduction, numbers, or distribution of that species, or (2) directly or indirectly result in an alteration that appreciably diminishes the value of critical habitat as a whole for the conservation of a listed species.
- If necessary, suggest a reasonable and prudent alternative to the proposed action.

To conduct the assessment, NMFS examined an extensive amount of information from a variety of sources. Detailed background information on the biology and status of the listed species and critical habitat has been published in a number of documents including peer reviewed scientific journals, primary reference materials, and governmental and non-governmental reports. Additional information regarding the effects of the Project's actions on the listed species in question, their anticipated response to these actions, and the environmental consequences of the actions as a whole was formulated from the aforementioned resources, and the following:

Arastradero Road Storm Damage Repair Biological Assessment. Prepared for Santa Clara County Roads and Airports; Prepared by Michael Baker International. March 2019.

Information was also provided in electronic mail messages and telephone conversations between December 2019 and May 2020 with NMFS, the Corps, and the District. For information that has been taken directly from published, citable documents, those citations have been referenced in the text and listed at the end of this document.

## **2.2 Rangewide Status of the Species and Critical Habitat**

This opinion examines the status of each species that would be adversely affected by the proposed action. The status is determined by the level of extinction risk that the listed species face, based on parameters considered in documents such as recovery plans, status reviews, and listing decisions. This informs the description of the species' likelihood of both survival and recovery. The species status section also helps to inform the description of the species' current "reproduction, numbers, or distribution" as described in 50 CFR 402.02. The opinion also examines the condition of critical habitat throughout the designated area, evaluates the conservation value of the various watersheds and coastal and marine environments that make up the designated area, and discusses the current function of the essential PBFs that help to form that conservation value.

### **2.2.1 Listed Species**

This biological opinion analyzes the effect of the proposed Arastradero Road Project in the Town of Portola Valley, California on CCC steelhead in Los Trancos Creek. CCC steelhead are listed as threatened under the ESA (71 FR 834<sup>1</sup>, January 5, 2006). The CCC steelhead distinct population segment (DPS) includes steelhead in coastal California streams from the Russian River to Aptos Creek, and the drainages of Suisun Bay, San Pablo Bay, and San Francisco Bay. In addition, this biological opinion analyzes the effects on designated critical habitat for threatened CCC steelhead (September 2, 2005; 70 FR 52488<sup>2</sup>). Los Trancos Creek in the action area is designated critical habitat for CCC steelhead.

### **2.2.2 Steelhead General Life History**

Steelhead are anadromous fish, spending some time in both fresh- and saltwater. The older juvenile and adult life stages occur in the ocean, until the adults ascend freshwater streams to spawn. Eggs (laid in gravel nests called redds), alevins (gravel dwelling hatchlings), fry (juveniles newly emerged from stream gravels), and young juveniles all rear in freshwater until they become large enough to migrate to the ocean to finish rearing and maturing to adults. General reviews for steelhead in California document much variation in life history (Shapovalov and Taft 1954, Barnhart 1986, Busby *et al.* 1996, McEwan 2001). Although variation occurs in coastal California, steelhead usually live in freshwater for 1 to 2 years in central California, then spend 2 or 3 years in the ocean before returning to their natal stream to spawn. Steelhead may spawn 1 to 4 times over their life. Adult steelhead returning from the ocean to the San Francisquito Creek watershed typically immigrate to freshwater between December and April, peaking in January and February, and juveniles migrate as smolts from the watershed to the

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<sup>1</sup> 71 FR 834: National Marine Fisheries Service. Final Listing Determinations for Ten Distinct Population Segments of West Coast Steelhead; Final Rule. Federal Register 71:834-862. January 5, 2006.

<sup>2</sup> 70 FR 52488: National Marine Fisheries Service. Final critical habitat designations for 19 West Coast salmon and steelhead ESUs. Federal Register 70:52488-52627. September 2, 2005.

ocean from January through June, with peak emigration occurring in April and May (Fukushima and Lesh 1998). Given the proposed construction period between July 15 and October 31, only juvenile steelhead are expected to be present in the action area during construction activities.

Steelhead fry rear in edgewater habitats and move gradually into pools and riffles as they grow larger. Cover is an important habitat component for juvenile steelhead, both as a velocity refuge and as a means of avoiding predation (Shirvell 1990, Meehan and Bjornn 1991). Steelhead, however, tend to use riffles and other habitats not strongly associated with cover during summer rearing more than other salmonids. Young steelhead feed on a wide variety of aquatic and terrestrial insects, and emerging fry are sometimes preyed upon by older juveniles. Rearing steelhead juveniles prefer water temperatures of 7.2-14.4 degrees Celsius (°C) and have an upper lethal limit of 23.9°C (Barnhart 1986, Bjornn and Reiser 1991). They can survive in water up to 27°C with saturated dissolved oxygen conditions and a plentiful food supply. Fluctuating diurnal water temperatures also aid in survivability of salmonids (Busby *et al.* 1996). Juvenile steelhead emigrate episodically from natal streams during fall, winter, and spring high flows, to the ocean to continue rearing to maturity.

Adults returning to spawn may migrate several miles, hundreds of miles in some watersheds, to reach their natal streams. Although spawning typically occurs between January and May, the specific timing of spawning may vary a month or more among streams within a region, and within streams interannually. Spawning (and smolt emigration) may continue through June (Busby *et al.* 1996). Female steelhead dig a nest in the stream and then deposit their eggs. After fertilization by the male, the female covers the nest with a layer of gravel. Steelhead do not necessarily die after spawning and may return to the ocean, sometimes repeating their spawning migration one or more years. The embryos incubate within the nest. Hatching time varies from about three weeks to two months depending on water temperature. The young fish emerge from the nest about two to six weeks after hatching.

### 2.2.3 Status of CCC Steelhead

Historically, approximately 70 populations of steelhead are believed to have existed in the CCC steelhead DPS (Spence *et al.* 2008). Many of these populations (approximately 37) were independent, or potentially independent, meaning they historically had a high likelihood of surviving for 100 or more years absent anthropogenic impacts (Bjorkstedt *et al.* 2005). The remaining populations were dependent upon immigration from nearby CCC steelhead DPS populations to ensure their persistence (McElhaney *et al.* 2000, Bjorkstedt *et al.* 2005).

While historical and current data of abundance are limited, CCC steelhead DPS numbers are substantially reduced from historical levels. A total of 94,000 adult steelhead were estimated to spawn in the rivers of this DPS in the mid-1960s, including 50,000 fish in the Russian River – the largest population within the DPS (Busby *et al.* 1996). Near the end of the 20th century, McEwan (2001) estimated that the wild steelhead population in the Russian River watershed was between 1,700 and 7,000 fish. Abundance estimates for smaller coastal streams in the DPS indicate low but stable levels, with recent estimates for several streams (Lagunitas, Waddell, Scott, San Vicente, Soquel, and Aptos creeks) of individual run sizes of 500 fish or less (62 FR

43937<sup>3</sup>). However, as noted in Williams *et al.* (2016) data for CCC steelhead populations remain scarce outside of Scott Creek, which is the only long-term dataset and shows a significant decline. Short-term records indicate the low but stable assessment of populations is reasonably accurate; however, it should be noted that there is no population data for any populations outside of the Santa Cruz Mountain stratum, other than hatchery data from the Russian River.

Although available time series data sets are too short for statistically robust analysis, the information available indicates CCC steelhead populations have likely experienced serious declines in abundance, and apparent long-term population trends suggest a negative growth rate. This would indicate the DPS may not be viable in the long term, and DPS populations that historically provided enough steelhead immigrants to support dependent populations may no longer be able to do so, placing dependent populations at increased risk of extirpation. However, because CCC steelhead have maintained a wide distribution throughout the DPS, roughly approximating the known historical distribution, CCC steelhead likely possess a resilience that could slow their decline relative to other salmonid DPSs or Evolutionarily Significant Units (ESU) in worse condition. The 2005 status review concluded that steelhead in the CCC steelhead DPS remain "likely to become endangered in the foreseeable future" (Good *et al.* 2005), a conclusion that was consistent with a previous assessment (Busby *et al.* 1996) and supported by the NMFS Technical Recovery Team work (Spence *et al.* 2008). On January 5, 2006, NMFS issued a final determination that the CCC steelhead DPS is a threatened species, as previously listed (71 FR 834).

Although numbers did not decline further during 2007/08, the 2008/09 adult CCC steelhead return data indicated a significant decline in returning adults across their range. Escapement data from 2009/2010 indicated a slight increase; however, the returns were still well below numbers observed within recent decades (Jeffrey Jahn, NMFS, personal communication, 2010).

In the Russian River, analysis of genetic structure by Bjorkstedt *et al.* (2005) concluded previous among-basin transfers of stock, and local hatchery production in interior populations in the Russian River likely has altered the genetic structure of the Russian River populations. Depending on how "genetic diversity" is quantified, this may or may not constitute a loss of overall diversity. In San Francisco Bay streams, reduced population sizes and fragmentation of habitat has likely led to loss of genetic diversity in these populations. More detailed information on trends in CCC steelhead DPS abundance can be found in the following references: Busby *et al.* 1996, NMFS 1997, Good *et al.* 2005, and Spence *et al.* 2008.

The status review by Williams *et al.* (2011) concluded that steelhead in the CCC steelhead DPS remain "likely to become endangered in the foreseeable future" as new information released since Good *et al.* 2005 did not appear to suggest a change in extinction risk. The most recent status review (Williams *et al.* 2016) reached the same conclusion. On May 26, 2016, NMFS affirmed no change to the determination that the CCC steelhead DPS is a threatened species (81 FR 33468), as previously listed (76 FR 76386).

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<sup>3</sup> 62 FR 43937: National Marine Fisheries Service. Final Rule: Listing of Several Evolutionary Significant Units of West Coast Steelhead. Federal Register 62:43937-43954. August 18, 1997.

#### 2.2.4 CCC Steelhead Critical Habitat Status

Critical habitat was designated for CCC steelhead on September 2, 2005 (70 FR 52488). In designating critical habitat, NMFS considers, among other things, the essential PBFs within the designated area that are essential to the conservation of the species and that may require special management considerations or protection.

PBFs for CCC steelhead and their associated essential features within freshwater include:

1. Freshwater spawning sites with water quantity and quality conditions and substrate supporting spawning, incubation and larval development.
2. Freshwater rearing sites with:
  - a. water quantity and floodplain connectivity to form and maintain physical habitat conditions and support juvenile growth and mobility;
  - b. water quality and forage supporting juvenile development; and
  - c. natural cover such as shade, submerged and overhanging large wood, log jams and beaver dams, aquatic vegetation, large rocks and boulders, side channels, and undercut banks.
3. Freshwater migration corridors free of obstruction and excessive predation with water quantity and quality conditions and natural cover such as submerged and overhanging large wood, aquatic vegetation, large rocks and boulders, side channels, and undercut banks supporting juvenile and adult mobility and survival.

The condition of CCC steelhead critical habitat, specifically its ability to provide for their conservation, has been degraded from conditions known to support viable salmonid populations. NMFS has determined that present depressed population conditions are, in part, the result of the following human-induced factors affecting critical habitat: logging, agricultural and mining activities, urbanization, stream channelization, dams, wetland loss, and water withdrawals, including unscreened diversions for irrigation. Impacts of concern include alteration of streambank and channel morphology, alteration of water temperatures, loss of spawning and rearing habitat, fragmentation of habitat, loss of downstream recruitment of spawning gravels and large woody debris, degradation of water quality, removal of riparian vegetation resulting in increased streambank erosion, loss of shade (higher water temperatures) and loss of nutrient inputs (Busby *et al.* 1996, 70 FR 52488). Water development has drastically altered natural hydrologic cycles in many of the streams in the DPS. Alteration of flows results in migration delays, loss of suitable habitat due to dewatering and blockage; stranding of fish from rapid flow fluctuations; entrainment of juveniles into poorly screened or unscreened diversions, and increased water temperatures harmful to salmonids. Overall, current condition of CCC steelhead critical habitat is degraded, and does not provide the full extent of conservation value necessary for the recovery of the species.

A final recovery plan for CCC steelhead was prepared by NMFS in October 2016 (NMFS 2016). The plan describes key threats, actions needed to achieve recovery, and measurable criteria by which NMFS will determine when recovery has been reached. Recovery plan actions are primarily designed to restore ecological processes that support healthy steelhead populations, and address the various activities that harm these processes and threaten the species' survival. The recovery plan calls for a range of actions including the restoration of floodplains and channel

structure, restoring riparian conditions, improving streamflows, restoring fish passage, protecting and restoring estuarine habitat, among other actions.

### 2.2.5 Global Climate Change

One factor affecting the range-wide status of the CCC steelhead DPS, and aquatic habitat at large is climate change. Impacts from global climate change are already occurring in California. For example, average annual air temperatures, heat extremes, and sea level have all increased in California over the last century (Kadir *et al.* 2013). Snow melt from the Sierra Nevada has declined (Kadir *et al.* 2013). However, total annual precipitation amounts have shown no discernable change (Kadir *et al.* 2013). CCC steelhead may have already experienced some detrimental impacts from climate change. NMFS believes the impacts on listed salmonids to date are likely fairly minor because natural, and local climate factors likely still drive most of the climatic conditions steelhead experience, and many of these factors have much less influence on steelhead abundance and distribution than human disturbance across the landscape. In addition, CCC steelhead are not dependent on snowmelt driven streams and, thus, not affected by declining snow packs.

The threat to CCC steelhead from global climate change will increase in the future. Modeling of climate change impacts in California suggests that average summer air temperatures are expected to continue to increase (Lindley *et al.* 2007, Moser *et al.* 2012). Heat waves are expected to occur more often, and heat wave temperatures are likely to be higher (Hayhoe *et al.* 2004, Moser *et al.* 2012, Kadir *et al.* 2013). Total precipitation in California may decline; critically dry years may increase (Lindley *et al.* 2007, Schneider 2007, Moser *et al.* 2012). Wildfires are expected to increase in frequency and magnitude (Westerling *et al.* 2011, Moser *et al.* 2012).

In the San Francisco Bay region, warm temperatures generally occur in July and August, but as climate change takes hold, the occurrences of these events will likely begin in June and could continue to occur in September (Cayan *et al.* 2012). Climate simulation models project that the San Francisco region will maintain its Mediterranean climate regime, but experience a higher degree of variability of annual precipitation during the next 50 years and years that are drier than the historical annual average during the middle and end of the 21st Century. The greatest reduction in precipitation is projected to occur in March and April, with the core winter months remaining relatively unchanged (Cayan *et al.* 2012).

Estuaries may also experience changes detrimental to salmonids. Estuarine productivity is likely to change based on changes in freshwater flows, nutrient cycling, and sediment amounts (Scavia *et al.* 2002, Ruggiero *et al.* 2010). In marine environments, ecosystems and habitats important to juvenile and adult salmonids are likely to experience changes in temperatures, circulation, water chemistry, and food supplies (Brewer and Barry 2008, Feely *et al.* 2004, Osgood 2008, Turley 2008, Abdul-Aziz *et al.* 2011, Doney *et al.* 2012). The projections described above are for the mid to late 21st Century. In shorter time frames, climate conditions not caused by the human addition of carbon dioxide to the atmosphere are more likely to predominate (Cox and Stephenson 2007, Santer *et al.* 2011).

## 2.3 Action Area

“Action area” means all areas to be affected directly or indirectly by the Federal action and not merely the immediate area involved in the action (50 CFR 402.02). The action area for the Project consists of the streambed and banks of Los Trancos Creek for a distance of 500 linear feet. This area contains the construction site, equipment access locations, block nets, fish relocation sites, and the channel downstream for a distance of 150 feet to include the length of waterway in which any temporary disruption to habitat (*e.g.*, fine sediment plume) might be detectable. The Project’s action area also includes an existing clearing just east of the construction site and adjacent to Arastradero Road where all equipment and construction supplies will be staged. The action area is located adjacent to Arastradero Road, near its intersection with John Marthens Lane in Portola Valley, Santa Clara County, California.

## 2.4 Environmental Baseline

The “environmental baseline” refers to the condition of the listed species or its designated critical habitat in the action area, without the consequences to the listed species or designated critical habitat caused by the proposed action. The environmental baseline includes the past and present impacts of all Federal, State, or private actions and other human activities in the action area, the anticipated impacts of all proposed Federal projects in the action area that have already undergone formal or early section 7 consultations, and the impact of State or private actions which are contemporaneous with the consultation in process. The consequences to listed species or designated critical habitat from ongoing agency activities or existing agency facilities that are not within the agency’s discretion to modify are part of the environmental baseline (50 CFR 402.02).

Los Trancos Creek is a tributary to San Francisquito Creek and is located within the San Francisquito Creek watershed. The climate is Mediterranean, with over 90 percent of annual precipitation occurring between November and April. Cool, moist coastal fog generally alternates with clear, warm weather during the months of May through September, and significant rainfall during that time is rare. Flows within the watershed are highly variable and can go quickly from low base flow conditions to high flows and then quickly recede again. Flows range from a hundred cubic feet per second (cfs) during winter storm events, to less than 1 cfs during most summers. Portions of the Los Trancos Creek watershed can run dry in late summer and in fall.

### 2.4.1 Status of Steelhead and Critical Habitat in the Action Area

Fish surveys performed between 1905 and 2004 (Laurer and Holtgrieve 2000, Wood Rodgers 2004, Leidy *et al.* 2005) document the historic and continued presence of steelhead within Los Trancos Creek and the broader San Francisquito Creek watershed. Carmen and White (2004) summarize existing information and data concerning the steelhead run in Los Trancos Creek. Fish studies have been conducted on Los Trancos Creek since the 1970s, but the surveys performed by California Department of Fish and Game (CDFG) in 1992 and 1993 (Anderson 1995) provide the most information regarding steelhead abundance. In the summer of 1993, Anderson (1995) found several age classes of steelhead above and below Stanford University’s Los Trancos Diversion facility, approximately 0.4 miles upstream of the action area. Sampling

performed by Stanford University in August 1998 and 1999 found abundant steelhead throughout Los Trancos Creek (Launer and Holtgrieve 2000). Vogel (2000) performed snorkel surveys in Los Trancos Creek and observed abundant numbers of steelhead juveniles. Santa Clara Valley Water District (SCVWD) (2004) reports information concerning steelhead spawning habitat in Los Trancos Creek and identified many factors in the watershed that could limit steelhead productivity. These “factors” pertain primarily to fish passage barriers which are located upstream of the action area of this project. Surveys performed by SCVWD in March and April 2003 found “relatively healthy” numbers of steelhead (SCVWD 2004). In the summer of 2017, at a bank stabilization project about ¾ miles downstream of the action area, 390 linear feet of Los Trancos Creek was dewatered and 274 juvenile steelhead from multiple year classes were captured and relocated. Steelhead are continuously present in the stream and rearing juveniles are common.

The action area of Los Trancos Creek is bordered on the south by Arastradero Road and suburban residential development. There is a narrow (about 200 feet) corridor of undeveloped land on the north. Within the project area, Los Trancos Creek has steep banks that range from 2 to 10 feet above the creek bed. The stream ranges from 2 to 18 inches deep and 2 to 15 feet wide and contains small gravel bars, riffles, and pools. Habitat within the action area is generally fair to good for steelhead spawning and rearing. Small pools interspersed by shallow riffles provide habitat diversity and complexity for juvenile steelhead rearing. Clean gravels are available in some riffle areas for steelhead spawning and egg incubation. Riparian vegetation is generally well-developed and consists primarily of native plant species, including the following trees: white alder (*Alnus rhombifolia*), black walnut (*Juglans hindsii*), California bay (*Umbellularia californica*), coast live oak (*Quercus agrifolia*), valley oak (*Q. lobata*), California buckeye (*Aesculus californica*), arroyo willow (*Salix lasiolepis*), and eucalyptus (*Eucalyptus* sp.) (MBI 2019).

The NMFS Multiple Species Recovery Plan identifies several recovery actions and recovery steps related to improving aquatic habitat in this watershed by increasing the frequency of root wads and other large pieces of wood, increasing the number and frequency of aquatic sheltering elements and riparian trees (NMFS 2016).

#### 2.4.2 Factors Affecting the Species Environment in the Action Area

Urbanization has resulted in flashy hydrology (flows rise quickly during storms) due to the large areas of impervious surface throughout the watershed. Some downcutting has incised the channel and steepened the banks in the action area. Passage of steelhead into and out of the action area may be influenced by Stanford University’s (Stanford) Los Trancos Diversion Facility. This water diversion is located approximately 0.1 miles downstream of the action area and it operates seasonally from December through April. Stanford exercises appropriate and riparian water rights to divert water from Los Trancos Creek. In 2009, Stanford completed upgrades to the Los Trancos Diversion Facility and initiated a program of improved bypass flows to maintain suitable downstream flow conditions when the water intake is operating. Stanford’s minimum bypass flows provide sufficient conditions allowing both upstream and downstream migration for steelhead downstream of the facility. Stanford’s fish ladder at the Los Trancos Diversion Facility provides for the upstream and downstream passage of steelhead adults, smolts and juveniles at the diversion dam.

### 2.4.3 Previous Section 7 Consultations and Section 10 Permits in the Action Area

Pursuant to section 7 of the ESA, NMFS has previously conducted three interagency consultations for projects that affected the action area of this project. The first consultation was with the Corps regarding Stanford University's Steelhead Habitat Enhancement Project (SHEP) (NMFS administrative record #151422SWR2004SR9240 and Corps File No. 28630S). The SHEP consultation evaluated modifications to the facilities and operational procedures at Stanford's San Francisquito Creek Pump Station in San Francisquito Creek, and the Los Trancos Creek Fish Ladder and Diversion Structure in Los Trancos Creek. On April 21, 2008, NMFS provided a biological opinion to the Corps related to the SHEP. The biological opinion included operational measures to provide adequate bypass streamflow downstream in Los Trancos Creek for threatened CCC steelhead. While monitoring the construction from this project, it was found that portions of the constructed features had failed, as had some of the required riparian mitigation plantings. The Corps and NMFS reinitiated this consultation and on August 27, 2014, NMFS provided a new biological opinion to the Corps related to the SHEP. Both of the SHEP consultations concluded that the project was not likely to jeopardize the continued existence of threatened CCC steelhead or adversely modify CCC steelhead designated critical habitat. The Los Trancos Diversion Facility is located immediately downstream of the action area.

On September 8, 2017, the Corps sent NMFS, via email, a notice of a permit request from the County of Santa Clara for an emergency repair of Arastradero Road (NMFS administrative record #151422WCR2017SR00233 and Corps File No. 2017-00417S). The County's project was to perform emergency repairs at Arastradero Road, which was damaged by high streamflows in Los Trancos Creek during several storm events during the 2016-2017 winter season. The streamflow eroded the road embankment, undercut Arastradero Road's westbound lane, eroded the subgrade, and exposed utilities, including a gas line. On September 11, 2017, in light of the urgency to complete the emergency action, NMFS provided the Corps discretionary recommendations to be incorporated into the emergency project, if practicable, to avoid or minimize potential project-related impacts to threatened CCC steelhead and its designated critical habitat. After obtaining authorization from the Corps, the County installed a temporary repair using riprap in October 2017. The present consultation is the permanent repair associated with the damage to Arastradero Road during the 2016-2017 winter season.

Stream restoration actions occur in the CCC steelhead DPS and may include the action area. These programmatic consultations include the NOAA Restoration Center's (RC) restoration program and the Regional General Permit programmatic consultation with the CDFG. Both of these consultations authorize a limited amount of take for juvenile salmonids during instream work conducted in the summer months.

Section 10(a)(1)(A) research and enhancement permits and section 4(d) limits or exceptions could potentially occur in the Los Trancos Creek watershed. Salmonid monitoring approved under these programs includes carcass surveys, smolt outmigration trapping, and juvenile density surveys. In general, these activities are closely monitored and require measures to minimize take during the research activities.

## 2.5 Effects of the Action

Under the ESA, “effects of the action” are all consequences to listed species or critical habitat that are caused by the proposed action, including the consequences of other activities that are caused by the proposed action. A consequence is caused by the proposed action if it would not occur but for the proposed action and it is reasonably certain to occur. Effects of the action may occur later in time and may include consequences occurring outside the immediate area involved in the action (see 50 CFR 402.17). In our analysis, which describes the effects of the proposed action, we considered 50 CFR 402.17(a) and (b).

The Project activities that are expected to affect steelhead include fish relocation, dewatering of stream reaches, and instream habitat enhancement actions. Only juvenile steelhead are expected to be in the action area during the July 15 through October 31 Project period. The potential effects of project activities on juvenile steelhead are presented in following subsections.

### 2.5.1 Fish Relocation Activities

Fish collection and relocation will be performed in coordination with dewatering prior to construction. The dewatered area within the action area will be the entire wetted surface of Los Trancos Creek for about 120 linear feet of channel. Cofferdams with a streamflow bypass piping will route flows around the Project’s construction area. The Applicant has proposed to collect and relocate fish to minimize the effects of dewatering the stream channel on steelhead. Before and during dewatering of the construction site, juvenile steelhead and other fish will be captured and relocated away from the work area to avoid direct mortality and minimize the possible stranding of fish in isolated pools. Fish in the immediate project area will be captured using standard fisheries practices and then transported and released to suitable instream locations outside the work area by a qualified fisheries biologist.

Steelhead relocation activities will occur during the summer and early-fall low-flow period after emigrating smolts and kelts (post-spawned adults) have left the creek and prior to the adult migration and spawning season. Therefore, NMFS expects the CCC steelhead that will be captured at the Arastradero Road construction site during relocation activities will be limited to young-of-the-year and pre-smolting juveniles. Data to precisely quantify the amount of steelhead that will be relocated prior to construction are not available, but estimates can be made from available information. Swaim Biological Incorporated (2018) reported capturing and relocating steelhead from two dewatered sections of Los Trancos Creek, in 2017, during a dewatering activity for the Alpine Road Trail Improvement Project. The two sections of stream totaled 390 feet long and they captured 274 juvenile steelhead – about 70 steelhead per 100 linear feet for Los Trancos Creek. Allowing for a 50 percent variation in inter-annual population abundance and allowing for up to 120 feet of Los Trancos Creek to be dewatered by this Project, up to 127 juvenile steelhead may be collected. This is expected to be the maximum number of CCC steelhead that would be captured and relocated by the Project during construction.

Fish relocation activities pose a risk of injury or mortality to rearing juvenile salmonids. Any fish collecting gear, whether passive (Hubert 1996) or active (Hayes *et al.* 1996) has some associated risk to fish, including stress, disease transmission, injury, or death. The amount of unintentional injury and mortality attributable to fish capture varies widely, depending on the

method used, the ambient conditions, and the expertise and experience of the field crew. Since fish relocation activities will be conducted by qualified fisheries biologists, direct effects to and mortality of juvenile steelhead during capture will be minimized.

Although sites selected for relocating fish should have similar and ample aquatic habitat as in the capture sites. In some instances relocated fish may endure short-term stress from crowding at the relocation sites. Relocated fish may have to contend with other fish causing increased competition for available resources such as food and habitat area. Frequent responses to crowding by steelhead include emigration and reduced growth rates (Keeley 2003). Some of the fish released at the relocation sites may choose not to remain in these areas and move either upstream or downstream to areas that have more vacant habitat and a lower density of steelhead. As each fish moves, competition remains either localized to a small area or quickly diminishes as fish disperse. NMFS does not expect impacts from increased competition would be large enough to adversely affect the survival chances of individual steelhead, or cascade through the watershed population based on the small area that would likely be affected and the relatively small number of individuals likely to be relocated (particularly when compared with the remainder of individuals throughout the drainage not affected by the project). As described above, sufficient habitat appears to be available Los Trancos Creek to sustain fish relocated without crowding of other juvenile steelhead.

Based on information from other relocation efforts, NMFS estimates injury and mortalities would be less than three percent of those steelhead that are captured and relocated. Data on fish relocation efforts in California streams since 2004 shows most mortality rates are below three percent for steelhead (Collins 2004, CDFG 2005, 2006, 2007, 2008, 2009, 2010a, 2010b). Fish that avoid capture during relocation efforts may be exposed to risks described in the following section on dewatering. NMFS expects no more than three percent of the steelhead captured by the Project for dewatering will be injured or killed during relocation activities. Given that we anticipate the capture of 127 juvenile steelhead during this construction project, we expect no more than four (4) juvenile steelhead are expected to be injured or killed during fish relocation and dewatering activities.

### 2.5.2 Dewatering

The Project proposes to isolate the work area with a cofferdam and bypass streamflow around the construction site. Bypass piping will be installed to divert streamflow around the Project site by gravity. Dewatering of the channel is estimated to affect up to 120 linear feet of Los Trancos Creek. NMFS anticipates only minor temporary changes to the streamflow of creek outside of the dewatered construction area during the dewatering process. These fluctuations in flow are anticipated to be small, gradual, and short-term. Once the cofferdam and pipeline bypass are installed and operational, streamflow above and below the work site should be the same as the pre-project conditions except within the dewatered work areas where streamflow is bypassed. The dewatering of up to 120 feet of channel is expected to cause a temporary reduction in the quantity of aquatic habitat.

Juvenile steelhead that avoid capture in the Project work area following relocation efforts may die due to desiccation, thermal stress, or be crushed by equipment or foot traffic if not found by biologists while water levels within the reach recede. However, due to fish relocation efforts,

NMFS expects the number of juvenile steelhead that would die as a result of stranding during dewatering activities would be less than one percent of the steelhead (*e.g.*, one steelhead) within the work site prior to dewatering.

The temporary cofferdams and water diversion structures in the creek at the construction site are not expected to impact juvenile steelhead movements in Los Trancos Creek beyond typical summer low-flow conditions. Steelhead experience intermittent conditions in many central California coastal streams during summer which impeded upstream and downstream movements by juveniles. The limited duration (approximately three months) in combination with the fall season timing of this project's water diversion are unlikely to adversely affect individual steelhead movements in Los Trancos Creek.

Benthic (*i.e.*, bottom dwelling) aquatic macroinvertebrates (a salmonid prey item) within the construction site may be killed or their abundance reduced when creek habitat is dewatered (Cushman 1985). However, effects to aquatic macroinvertebrates resulting from the construction streamflow bypass and dewatering will be temporary because construction activities would be relatively short-lived and the dewatered reach is relatively small (approximately 120 linear feet of channel). Rapid recolonization (typically one to two months) of disturbed areas by macroinvertebrates is expected following channel re-watering (Cushman 1985, Thomas 1985, Harvey 1986). Based on the foregoing, NMFS does not expect the loss of aquatic macroinvertebrates as a result of dewatering activities by the Project would adversely affect CCC steelhead during and after project implementation.

### 2.5.3 Increased Mobilization of Sediment in the Stream Channel and Water Quality

During construction, Project activities would result in disturbance of the creek bed and banks for equipment access, bank and channel contouring, placement of rootwads and boulders, and for the placement/removal of the cofferdam. While the cofferdam and streamflow bypass system are in place, construction activities are not expected to degrade water quality in Los Trancos Creek because the work area will be dewatered and isolated from the flowing waters of the creek. Post-construction, NMFS anticipates disturbed soils could affect water quality and critical habitat in the action area in the form of small, short-term increases in turbidity during re-watering (*i.e.*, cofferdam removal) and subsequent higher flow events during the first winter storms post-construction. Disturbed soils on the creek bank are easily mobilized when late fall and winter storms increase streamflow levels. Instream and near-stream construction activities have been shown to result in temporary increases in turbidity (reviewed in Furniss *et al.* 1991, Reeves *et al.* 1991, Spence *et al.* 1996).

Increases in sediment may affect fish in a variety of ways. High concentrations of suspended sediment can disrupt normal feeding behavior and efficiency (Cordon and Kelley 1961, Bjornn *et al.* 1977, Berg and Northcote 1985), reduce growth rates (Crouse *et al.* 1981), and increase plasma cortisol levels (Servizi and Martens 1992). High and prolonged turbidity concentrations can reduce dissolved oxygen in the water column, result in reduced respiratory functions, reduce tolerance to diseases, and can cause fish mortality (Sigler *et al.* 1984, Berg and Northcote 1985, Gregory and Northcote 1993, Velagic 1995, Waters 1995). Even small pulses of turbid water can cause salmonids to disperse from established territories (Waters 1995), which can displace fish into less suitable habitat and/or increase competition and predation, decreasing chances of

survival. Increased sediment deposition can fill pools thereby reducing the amount of potential cover and habitat available, and smother coarse substrate particles which can impair macroinvertebrate composition and abundance (Sigler *et al.* 1984, Alexander and Hansen 1986).

Although chronic elevated sediment and turbidity levels may affect steelhead and critical habitat as described above, sedimentation and turbidity levels associated with this Project during cofferdam construction and removal, and the subsequent rewetting of the construction site within the action area, and during subsequent rainfall events are not expected to rise to the levels discussed in the previous paragraph, because the project proposes soil and channel stabilization measures to prevent the mobilization of sediment. Due to the Project's proposed use of silt curtains and erosion control fabric throughout the construction phase, and post-construction planting of native vegetation, NMFS anticipates there will be minimal area of disturbed, exposed soils remaining post-construction. Therefore, any resulting elevated turbidity levels would be small, only occur for a short period, and be well below levels and durations shown in the scientific literature as causing injury or harm to salmonids (see for example Sigler *et al.* 1984 or Newcombe and Jensen 1996). NMFS expects any sediment or turbidity generated by the Project would not extend more than 150 feet downstream of the work site based on the site conditions and methods used to control sediment. NMFS does not anticipate harm, injury, or behavioral impacts to CCC steelhead associated with exposure to the minor elevated suspended sediment levels that would be generated by the Project.

#### 2.5.4 Effects on Critical Habitat

As discussed above, Project construction activities are expected to result in short-term disturbance to the channel and the adjacent streambank areas. Localized impacts to water quality in the form of increased levels of turbidity and suspended sediment will be contained during construction by the cofferdams and post-construction mobilization of sediment during high flow events are expected to be minimal. Given the small amounts of sediment and turbidity generated by the Project, NMFS expects PBFs of critical habitat associated with water quality for CCC steelhead in the action area are unlikely to be adversely affected. Any sediment and turbidity associated with Project activities will rapidly dissipate downstream in Los Trancos Creek during subsequent high flows over the next rainy season. Any sediment and turbidity generated from the project site during the next rainy season will likely be miniscule compared to the sediment and turbidity generated in Los Trancos Creek during winter rains, making any impairment of critical habitat highly unlikely.

PBFs of juvenile steelhead rearing habitat in the action area will be temporarily impacted by dewatering approximately 120 linear feet of Los Trancos Creek. The amount of physical habitat available for rearing juveniles will be reduced by 120 linear feet of channel for a period of up to three weeks and food supplies within the dewatered reach will be temporarily reduced. Benthic (*i.e.*, bottom dwelling) aquatic macroinvertebrates may be killed or their abundance reduced when stream habitat is dewatered (Cushman 1985). However, effects to aquatic macroinvertebrates resulting from streamflow diversion and dewatering is expected to be short-term. Because construction activities will be short-lived and the dewatered reach is relatively small, rapid recolonization (typically one to two months) of disturbed areas by macroinvertebrates is expected following rewatering (Cushman 1985, Thomas 1985, Harvey 1986). In addition, the effect of macroinvertebrate loss on juvenile steelhead would likely be

negligible because food from upstream sources (via drift) would be available downstream of the dewatered areas since streamflow would be bypassed around the construction work site. Based on the foregoing, NMFS expects the temporary loss of habitat space and impacts to aquatic macroinvertebrates as a result of dewatering activities would result in only minor adverse effect to rearing PBFs for steelhead in the action area.

The temporary water diversion and cofferdams are not expected to adversely affect the critical habitat PBF associated with migration because the diversion will not be in place during periods of adult and smolt steelhead migration Los Trancos Creek. Water diversion around the worksite will be limited to the period between July 15 through October 31 when adults and smolts are no longer migrating and cofferdams will be removed prior to the beginning of adult or smolt migration of December through May (Fukushima and Lesh 1998).

The placement of large boulders and log-rootwads in the streambank are anticipated to effect the channel by maintaining the alignment and precluding lateral movement of the channel along the south bank. Natural fluvial and geomorphic processes in the action area have been compromised by previous bank stabilization efforts (*e.g.*, 2017 emergency repairs). Streams transport water and sediment from upland sources to the ocean and, generally speaking, the faster the streamflow, the greater the erosive force. A few natural mechanisms constrain and moderate these erosive forces, such as the slowing of streamflow (and by extension its erosive force) resulting from complex structure both within (*e.g.*, boulders or woody debris) and adjacent (*e.g.*, riparian vegetation) to the stream channel (Knighton 1998). A stream channel will also naturally “meander”, eroding laterally to create a sinuous longitudinal course. Stream meandering efficiently regulates the erosive forces by lengthening the channel and reducing stream gradient, thus controlling the ability of the stream to entrain and transport available sediment. Meandering streams also create and maintain both hydraulic and physical instream habitat used by fish and other aquatic species. For instance, specific to salmon and steelhead, a meandering, unconstrained stream channel sorts and deposits gravel and other substrate necessary for optimal food production and spawning success, maintains a healthy and diverse riparian corridor, and allows floodplain engagement during appropriate winter flows (Spence *et al.* 1996).

By design, streambank stabilization projects prevent lateral channel migration, effectively forcing streams into a straight, linear simplified configuration that, without the ability to move laterally, instead erodes and deepens vertically (Leopold *et al.* 1968, Dunn and Leopold 1978). The resulting “incised” channel fails to create and maintain aquatic and riparian habitat through lateral migration, but instead disconnects flow, natural processes and channel function from adjacent floodplain and riparian habitat, creating a simplified stream reach with poor food production and little functional habitat for summer and winter rearing salmonids (Pollock *et al.* 2007, Florsheim *et al.* 2008).

To minimize the above effects, the Project has been designed to maintain relatively natural geomorphic conditions in the reach because there are no structures near the top of bank threatened by ongoing bank erosion and the amount of top of bank land required for laying back the banks to a more gradual slope is not substantial. Retention of natural geomorphic conditions will be accomplished by the following design features: (1) remove the slide debris from the February 2017 bank failure to restore the estimated pre-slide toe of bank line; (2) the channel bed

will be re-contoured to create a natural self-sustaining pool-riffle morphology; (3) the southern bank of the creek will be formed to create a gradual slope to reduce bank erosion pressure and potential for upper bank rotational slides; (4) log-rootwads will be anchored into the bank; and (5) an existing bedrock outcrop retained.

This design approach is anticipated to retain natural stream channel processes and functions in the action area as well as provide complex fish habitat. Further, the Project includes placement of significant numbers and variety of native and appropriate riparian trees and shrubs. The added live vegetation is expected to benefit critical habitat through the creation of shade, production of allochthonous food and shelter, and containment of bank sediments. Steelhead food sources (insects falling into the water) derived from the riparian zone will likely be enhanced in the action area by the Project with the planting of native riparian vegetation in and along the structure. Additionally, critical habitat PBFs associated with natural cover such as shade, submerged and overhanging large wood, and large rocks/boulders are expected to improve in the action area due to the incorporation of log-rootwads and boulders in the bank structure.

## **2.6 Cumulative Effects**

“Cumulative effects” are those effects of future state or private activities, not involving Federal activities, that are reasonably certain to occur within the action area of the Federal action subject to consultation (50 CFR 402.02 and 402.17(a)). Future Federal actions that are unrelated to the proposed action are not considered in this section because they require separate consultation pursuant to section 7 of the ESA.

Some continuing non-Federal activities are reasonably certain to contribute to climate effects within the action area. However, it is difficult if not impossible to distinguish between the action area’s future environmental conditions caused by global climate change that are properly part of the environmental baseline *vs.* cumulative effects. Therefore, all relevant future climate-related environmental conditions in the action area are described in the environmental baseline (Section 2.4).

## **2.7 Integration and Synthesis**

The Integration and Synthesis section is the final step in our assessment of the risk posed to species and critical habitat as a result of implementing the proposed action. In this section, we add the effects of the action (Section 2.5) to the environmental baseline (Section 2.4) and the cumulative effects (Section 2.6), taking into account the status of the species and critical habitat (Section 2.2), to formulate the agency’s biological opinion as to whether the proposed action is likely to: (1) Reduce appreciably the likelihood of both the survival and recovery of a listed species in the wild by reducing its numbers, reproduction, or distribution; or (2) appreciably diminishes the value of designated or proposed critical habitat for the conservation of the species.

CCC steelhead are listed as threatened. Based on the extensive loss of historic habitat due to dams, forestry practices, and urban and agricultural land development, and the degraded condition of remaining spawning and rearing habitats, CCC steelhead have experienced severe declines.

The Project proposes to dewater a small section of Los Trancos Creek (approximately 120 linear feet) and construction is scheduled to occur during the dry season. Therefore, it is anticipated that only rearing juvenile steelhead will be present in the action area during construction and no adult or smolt life stages of steelhead would be affected by Project activities. NMFS estimates up to 127 juvenile CCC steelhead from 3 year classes may be present in the reach of creek to be dewatered prior to construction.

As described in the *Effects of the Action* section above, NMFS identified dewatering and fish relocation as the adverse effects on CCC steelhead that would result from the proposed Project. Prior to dewatering the site for construction, fish would be collected and relocated from the work area. Juvenile steelhead present in the immediate project work area will be subject to capture, relocation, and related short-term effects. Fish that elude capture and remain in the Project area during dewatering may die due to desiccation or thermal stress, or be crushed by equipment or foot traffic if not found by biologists during the drawdown of streamflow. Based on the low mortality rates for similar relocation efforts, NMFS anticipates few juvenile steelhead would be injured or killed by fish relocation and construction activities during implementation of this Project. Anticipated mortality from relocation is expected to be less than three percent of the fish relocated, and mortality expected from dewatering is expected to be less than one percent of the fish in the area prior to dewatering (combined mortality to not exceed four percent). Because no more than 127 juvenile steelhead are expected to be present, NMFS expects no more than five (5) juvenile steelhead would be injured or killed by fish relocation and dewatering. Due to the relatively large number of juveniles produced by each spawning pair, steelhead spawning in the San Francisquito watershed in future years are likely to produce enough juveniles to replace the few that may be lost at the project site due to relocation and dewatering. Thus, it is unlikely that the small potential loss of up to five juvenile steelhead during the duration of Project activities will impact future adult returns.

Dewatering of approximately 120 linear feet of creek channel to construct the bank stabilization structure will result in temporary and minor impacts to critical habitat. This 120-foot long reach of stream will be dewatered for about three months during one summer season. Macroinvertebrate populations subjected to dewatering are expected to recover within one to two months after construction. The design of the bank stabilization structure is anticipated to minimize effects to natural stream channel processes and functions in the action area as well as provide complex fish habitat. The planting of native vegetation is expected to create shade, produce allochthonous food and shelter, and assist with stabilizing bank sediments. Critical habitat PBFs associated with natural cover such as shade, submerged and overhanging large wood, and large rocks/boulders are expected to improve in the action area due to the incorporation of log-rootwads and boulders in the Project.

Regarding future climate change effects in the action area, California could be subject to higher average summer air temperatures and lower total precipitation levels. Reductions in the amount of snowfall and rainfall would reduce streamflow levels in Northern and Central Coastal rivers. Estuaries may also experience changes in productivity due to changes in freshwater flows, nutrient cycling, and sediment amounts. For this Project, in-water activities will occur for three months in 2020, and the above effects of climate change will not be detected within that time

frame. If the effects of climate change are detected over the short term, they will likely materialize as moderate changes to the current climate conditions within the action area. These changes may place further stress on CCC steelhead populations. The effects of the proposed action combined with moderate climate change effects may result in conditions similar to those produced by natural ocean-atmospheric variations as described in the *Environmental Baseline* section of this opinion (Section 2.4) and annual variations. CCC steelhead are expected to persist throughout these phenomena, as they have in the past, even when concurrently exposed to the effects of similar projects.

## **2.8 Conclusion**

After reviewing and analyzing the current status of the listed species and critical habitat, the environmental baseline within the action area, the effects of the proposed action, the effects of other activities caused by the proposed action, and cumulative effects, it is NMFS' biological opinion that the proposed action is not likely to jeopardize the continued existence of CCC steelhead or destroy or adversely modify its designated critical habitat.

## **2.9 Incidental Take Statement**

Section 9 of the ESA and Federal regulations pursuant to section 4(d) of the ESA prohibit the take of endangered and threatened species, respectively, without a special exemption. "Take" is defined as to harass, harm, pursue, hunt, shoot, wound, kill, trap, capture or collect, or to attempt to engage in any such conduct. "Harm" is further defined by regulation to include significant habitat modification or degradation that actually kills or injures fish or wildlife by significantly impairing essential behavioral patterns, including breeding, spawning, rearing, migrating, feeding, or sheltering (50 CFR 222.102). "Incidental take" is defined by regulation as takings that result from, but are not the purpose of, carrying out an otherwise lawful activity conducted by the Federal agency or applicant (50 CFR 402.02). Section 7(b)(4) and section 7(o)(2) provide that taking that is incidental to an otherwise lawful agency action is not considered to be prohibited taking under the ESA if that action is performed in compliance with the terms and conditions of this ITS.

### **2.9.1 Amount or Extent of Take**

In the biological opinion, NMFS determined that incidental take is reasonably certain to occur as follows:

Take of juvenile CCC steelhead will be associated with fish collection and relocation during the dewatering of the Los Trancos Creek work site.

The number of threatened steelhead that may be incidentally taken during project activities is expected to be small, and limited to the juvenile (pre-smolt) life stage. Take is anticipated to occur during fish relocation and dewatering of the 120-foot long reach of Los Trancos Creek within the action area between July 15 and October 31. The number of juvenile steelhead relocated during project construction is anticipated to be no more than 127 fish, and no more than five (5) juvenile steelhead are expected to be injured or killed during fish relocation and dewatering activities.

### 2.9.2 Effect of the Take

In the biological opinion, NMFS determined that the amount or extent of anticipated take, coupled with other effects of the proposed action, is not likely to result in jeopardy to the species, or destruction or adverse modification of critical habitat.

### 2.9.3 Reasonable and Prudent Measures

“Reasonable and prudent measures” are nondiscretionary measures that are necessary or appropriate to minimize the impact of the amount or extent of incidental take (50 CFR 402.02).

NMFS believes the following reasonable and prudent measures are necessary and appropriate to minimize take of CCC steelhead:

1. Undertake measures to ensure that harm and mortality to listed steelhead resulting from fish relocation and dewatering activities is low.
  - 1.
  2. Prepare and submit reports that summarize the effects of construction, fish relocation, and dewatering activities, and post-construction site performance.

### 2.9.4 Terms and Conditions

The terms and conditions described below are non-discretionary, and the Corps or any applicant must comply with them in order to implement the RPMs (50 CFR 402.14). The Corps or any applicant has a continuing duty to monitor the impacts of incidental take and must report the progress of the action and its impact on the species as specified in this ITS (50 CFR 402.14). If the entity to whom a term and condition is directed does not comply with the following terms and conditions, protective coverage for the proposed action would likely lapse.

1. The following terms and conditions implement reasonable and prudent measure 1:
  - a. Captured fish shall be handled with extreme care and kept in water to the maximum extent possible during relocation activities. All captured fish shall be kept in cool, shaded, aerated water protected from excessive noise, jostling, or overcrowding any time they are not in the stream, and fish shall not be removed from this water except when released. To avoid predation, the biologist shall have at least two containers and segregate young-of-year fish from larger age classes and other potential aquatic predators. Captured salmonids will be relocated, as soon as possible, to a suitable instream location in which habitat conditions are present to allow for adequate survival of transported fish and fish already present.
  - b. If any salmonids are found dead or injured, the biologist shall contact NMFS biologist Daniel Logan by phone immediately at (707) 575-6053 or the NMFS North-Central Coast Office at (707) 575-6050. The purpose of the contact is to review the activities resulting in take and to determine if additional protective measures are required. All salmonid mortalities shall be retained, placed in an appropriately-sized sealable plastic

bag, labeled with the date and location of collection, fork length measured, and frozen as soon as possible. Frozen samples shall be retained by the biologist until specific instructions are provided by NMFS. The biologist may not transfer biological samples to anyone other than the NMFS North-Central Coast Office without obtaining prior written approval from the Supervisor of our North-Central Coast Office. Any such transfer will be subject to such conditions as NMFS deems appropriate.

- c. All cofferdams, pumps, pipes and other diversion materials will be removed from the stream upon work completion and no later than October 31.
  - d. All pumps used to divert live streamflow will be screened and maintained throughout the construction period to comply with NMFS' Fish Screening Criteria for Anadromous Salmonids. ([https://archive.fisheries.noaa.gov/wcr/publications/hydropower/southwest\\_region\\_1997\\_fish\\_screen\\_design\\_criteria.pdf](https://archive.fisheries.noaa.gov/wcr/publications/hydropower/southwest_region_1997_fish_screen_design_criteria.pdf))
2. The following term and condition implements reasonable and prudent measure 2:

The Corps or applicant must provide a written report to NMFS by January 15 of the year following construction of the proposed action. The report must be provided to NMFS North-Central Coast Office, Attention: San Francisco Bay Branch Chief, 777 Sonoma Avenue, Room 325, Santa Rosa, California, 95404-6528. The report must contain, at a minimum, the following information:

**i. Construction Related Activities** – The report must include the dates construction began and was completed, a discussion of any unanticipated effects or unanticipated levels of effects on salmonids, a description of any and all measures taken to minimize those unanticipated effects and a statement as to whether or not the unanticipated effects had any effect on ESA-listed fish, the number of salmonids killed or injured during the project action, and photographs taken before, during, and after the activity from photo reference points.

**ii. Fish Relocation** – The report must include a description of the location from which fish were removed and the release site including photographs, the date and time of the relocation effort, a description of the equipment and methods used to collect, hold, and transport salmonids, the number of fish relocated by species, the number of fish injured or killed by species and a brief narrative of the circumstances surrounding ESA-listed fish injuries or mortalities, and a description of any problems which may have arisen during the relocation activities and a statement as to whether or not the activities had any unforeseen effects.

## 2.10 Conservation Recommendations

Section 7(a)(1) of the ESA directs Federal agencies to use their authorities to further the purposes of the ESA by carrying out conservation programs for the benefit of the threatened and endangered species. Specifically, conservation recommendations are suggestions regarding discretionary measures to minimize or avoid adverse effects of a proposed action on listed species or critical habitat or regarding the development of information (50 CFR 402.02). NMFS

offers the following discretionary measures that will aid in the conservation of CCC steelhead. Actions below are referenced to actions steps presented in the 2016 Coastal Multispecies Recovery Plan (NMFS 2016):

1. The Corps should work collaboratively with the County of Santa Clara Roads and Airports Department, resource agencies, and other interested parties to improve water quality in the San Francisquito Creek Watershed by:
  - a. develop and implement strategies that slow urban runoff during the spawning and migration season (slow it, spread it, sink it) (SFC-CCCS-13.1.2.3);
  - b. develop and implement strategies that reduce exposure to toxins and pollutants in San Francisquito Creek and its tributaries (SFC-CCCS-10.1.1.1); and
  - c. identify and develop solutions for point and non-point sources contributing to poor water quality and pollution (SFC-CCCS-10.1.1.3).
2. The Corps should work collaboratively with the County of Santa Clara Roads and Airports Department, resource agencies, and other interested parties to identify locations where channel modification has resulted in decreased shelter, the frequency of large wood features, and habitat complexity, and develop and implement site-specific plans to improve these conditions (SFC-CCCS-25.1.1.2).
3. The Corps should work collaboratively with the County of Santa Clara Roads and Airports Department, resource agencies, and other interested parties to install bollards at fire hydrants that are in close proximity to streams to prevent hydrants from being hit and discharging chlorinated water into the streams (SFC-CCCS-10.1.1.9).

## **2.11 Reinitiation of Consultation**

This concludes formal consultation for the proposed Arastradero Road Repair Project, adjacent to Los Trancos Creek in the Town of Portola Valley, County of Santa Clara, California.

As 50 CFR 402.16 states, reinitiation of consultation is required and shall be requested by the Federal agency or by the Service where discretionary Federal agency involvement or control over the action has been retained or is authorized by law and if: (1) The amount or extent of incidental taking specified in the ITS is exceeded, (2) new information reveals effects of the agency action that may affect listed species or critical habitat in a manner or to an extent not considered in this opinion, (3) the identified action is subsequently modified in a manner that causes an effect to the listed species or critical habitat that was not considered in the biological opinion, or (4) a new species is listed or critical habitat designated that may be affected by the action.

### 3. DATA QUALITY ACT DOCUMENTATION AND PRE-DISSEMINATION REVIEW

The Data Quality Act (DQA) specifies three components contributing to the quality of a document. They are utility, integrity, and objectivity. This section of the opinion addresses these DQA components, documents compliance with the DQA, and certifies that this opinion has undergone pre-dissemination review.

#### 3.1 Utility

Utility principally refers to ensuring that the information contained in this consultation is helpful, serviceable, and beneficial to the intended users. The intended users of this opinion are the Corps of Engineers. Other interested users could include the Applicant and its consultants, the California Department of Fish and Wildlife, the Regional Water Quality Control Board, and citizens of Portola Valley. Individual copies of this opinion were provided to the Corps of Engineers, the US Fish and Wildlife Service, the San Francisco Regional Water Quality Control Board, the California Department of Fish and Wildlife, and MBI. The format and naming adheres to conventional standards for style.

#### 3.2 Integrity

This consultation was completed on a computer system managed by NMFS in accordance with relevant information technology security policies and standards set out in Appendix III, 'Security of Automated Information Resources,' Office of Management and Budget Circular A-130; the Computer Security Act; and the Government Information Security Reform Act.

#### 3.3 Objectivity

Information Product Category: Natural Resource Plan

**Standards:** This consultation and supporting documents are clear, concise, complete, and unbiased; and were developed using commonly accepted scientific research methods. They adhere to published standards including the NMFS ESA Consultation Handbook, ESA regulations, 50 CFR 402.01 *et seq.*, and the MSA implementing regulations regarding EFH, 50 CFR 600.

**Best Available Information:** This consultation and supporting documents use the best available information, as referenced in the References section. The analyses in this opinion contain more background on information sources and quality.

**Referencing:** All supporting materials, information, data and analyses are properly referenced, consistent with standard scientific referencing style.

**Review Process:** This consultation was drafted by NMFS staff with training in ESA, and reviewed in accordance with West Coast Region ESA quality control and assurance processes.

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